

## Construction of symplectic cohomology $S^2 \times S^2$

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**ABSTRACT.** In this article, we present symplectic 4-manifolds with the same integral cohomology as  $S^2 \times S^2$ . A generalization of this construction is given as well, an infinite family of symplectic 4-manifolds cohomology equivalent to  $\#_{(2g-1)}(S^2 \times S^2)$  for any  $g \geq 2$ . We also compute the Seiberg-Witten invariants of the 4-manifolds we construct.

### 1. Introduction

The aim of this article is to construct examples of symplectic 4-manifolds with the same integral cohomology as  $S^2 \times S^2$ . Similar problems have been studied in the algebro-geometric category, i.e. existence of algebraic surfaces homology equivalent but not isomorphic to  $\mathbf{P}^2$  (or  $\mathbf{P}^1 \times \mathbf{P}^1$ ) as an algebraic variety. D. Mumford [Mu] and R. Pardini [P] gave the constructions of such fake  $\mathbf{P}^2$  and  $\mathbf{P}^1 \times \mathbf{P}^1$ .

We study this problem in the symplectic category. Our main results are the following two theorems.

**Theorem 1.1.** *Let  $K$  be a genus one fibered knot in  $S^3$ . Then there exist a minimal symplectic 4-manifold  $X_K$  cohomology equivalent to  $S^2 \times S^2$ .*

**Theorem 1.2.** *Let  $K$  be a genus one and  $K'$  be any genus  $g \geq 2$  fibered knot in  $S^3$ . Then there exist an infinite family of minimal symplectic 4-manifolds  $V_{KK'}$  that is cohomology equivalent to  $\#_{(2g-1)}(S^2 \times S^2)$ .*

This paper is organized as follows: Section 2 contains the basic definitions and formulas that will be important throughout this paper. Section 3 gives a quick introduction to Seiberg-Witten invariants. The remaining two sections are devoted to the construction of family of symplectic 4-manifolds cohomology equivalent to  $\#_{(2g-1)}(S^2 \times S^2)$  and the fundamental group computation for our examples.

## 2. Preliminaries

### 2.1. Fibered Knots

In this section, we give a short introduction to fibered knots and state a few facts that will be needed in our construction. We refer the reader to Section 10.H [R] for a more complete treatment.

**Definition 2.1.** Let  $p$  and  $q$  be relatively prime positive integers. The knot which wraps around the solid torus in the longitudinal direction  $p$  times and in the meridional direction  $q$  times is called the  $(p, q)$  torus knot and denoted as  $T_{p,q}$ .

**Lemma 2.1.** [S] a) *The group of the torus knot  $T_{p,q}$  can be represented as follows:*

$$\pi_1(S^3 \setminus T_{p,q}) = \langle u, v \mid u^p = v^q \rangle$$

b) *The elements  $m = u^s v^r$ ,  $l = u^p m^{-pq}$ , where  $pr + qs = 1$ , describe meridian and longitude of the  $T_{p,q}$  for a suitable chosen basepoint.*

All torus knots belong to the larger category of fibered knots.

**Definition 2.2.** A knot  $K$  in  $S^3$  is called *fibered* if there is fibration  $f : S^3 \setminus K \longrightarrow S^1$  behaving “nicely” near  $K$ . This means that  $K$  has a neighbourhood framed as  $S^1 \times D^2$ , with  $K \cong S^1 \times 0$  and restriction of the map  $f$  to  $S^1 \times (D^2 - 0)$  is the map to  $S^1$  given by  $(t, x) \rightarrow x/|x|$ .

It follows from the definition that a preimage for each point  $p \in S^1$  is the Seifert surface for the given knot. The genus of this Seifert surface will be called the genus of the given fibered knot.

The fibered knots form a large class among the all classical knots. Below we state two theorems that can be used to detect if the given knot is fibered or not.

**Theorem 2.2.** [S] *The knot  $K \subset S^3$  is a fibered knot of genus  $g$  if and only if the commutator subgroup of its knot group  $\pi_1(S^3 \setminus K)$  is finitely-generated and free group of rank  $2g$ .*

**Theorem 2.3.** [BZ] *The Alexander polynomial  $\Delta_K(t)$  of a fibered knot in  $S^3$  is monic, i.e. the first and the last non-zero coefficients of  $\Delta_K(t)$  are  $\pm 1$ .*

If a genus one knot is fibered, then it can be shown by above theorems and also by explicit construction [BZ] that it must be either the trefoil or the figure eight knot. Also, one can construct infinitely many fibered knots for a fixed genus  $g \geq 2$ .

### 2.2. Generalized fiber sum

**Definition 2.3.** Let  $X$  and  $Y$  be closed, oriented, smooth 4-manifolds each containing a smoothly embedded surface  $\Sigma$  of genus  $g \geq 1$ . Assume  $\Sigma$  represents a homology class

of infinite order and has self-intersection zero in  $X$  and  $Y$ , so that there exists a product tubular neighborhood, say  $\nu\Sigma \cong \Sigma \times D^2$ , in both  $X$  and  $Y$ . Using an orientation-reversing and fiber-preserving diffeomorphism  $\psi : \Sigma \times S^1 \rightarrow \Sigma \times S^1$ , we can glue  $X \setminus \nu\Sigma$  and  $Y \setminus \nu\Sigma$  along the boundary  $\partial(\nu\Sigma) \cong \Sigma \times S^1$ . The resulting closed oriented smooth 4-manifold, denoted by  $X \#_{\psi} Y$ , is called a *generalized fiber sum* of  $X$  and  $Y$  along  $\Sigma$ , determined by  $\psi$ .

**Definition 2.4.** Let  $e(X)$  and  $\sigma(X)$  denote the Euler characteristic and the signature of a closed oriented smooth 4-manifold  $X$ , respectively. We define

$$c_1^2(X) := 2e(X) + 3\sigma(X), \quad \chi_h(X) := \frac{e(X) + \sigma(X)}{4}.$$

**Lemma 2.4.** Let  $X$  and  $Y$  be closed, oriented, smooth 4-manifolds containing an embedded surface  $\Sigma$  of self-intersection 0. Then

$$\begin{aligned} c_1^2(X \#_{\psi} Y) &= c_1^2(X) + c_1^2(Y) + 8(g-1), \\ \chi_h(X \#_{\psi} Y) &= \chi_h(X) + \chi_h(Y) + (g-1), \end{aligned}$$

where  $g$  is the genus of the surface  $\Sigma$ .

*Proof.* The above simply follow from the well-known formulas

$$e(X \#_{\psi} Y) = e(X) + e(Y) - 2e(\Sigma), \quad \sigma(X \#_{\psi} Y) = \sigma(X) + \sigma(Y).$$

□

If  $X$  and  $Y$  are symplectic 4-manifolds and  $\Sigma$  is a symplectic submanifold in both, then according to a theorem of Gompf [Go],  $X \#_{\psi} Y$  admits a symplectic structure. In such a case, we will call  $X \#_{\psi} Y$  a *symplectic sum*.

To show the minimality of our symplectic manifolds, we use the following theorem of M. Usher [U]. In order to state his theorem, we slightly abuse the notation for the fiber sum above.

**Theorem 2.5.** [U] **(Minimality of Symplectic Sums)** Let  $Z = X_1 \#_{F_1=F_2} X_2$  be symplectic fiber sum of manifolds  $X_1$  and  $X_2$ . Then:

- (i) If either  $X_1 \setminus F_1$  or  $X_2 \setminus F_2$  contains an embedded symplectic sphere of square  $-1$ , then  $Z$  is not minimal.
- (ii) If one of the summands  $X_i$  (say  $X_1$ ) admits the structure of an  $S^2$ -bundle over a surface of genus  $g$  such that  $F_i$  is a section of this fiber bundle, then  $Z$  is minimal if and only if  $X_2$  is minimal.
- (iii) In all other cases,  $Z$  is minimal.

### 3. Seiberg-Witten Invariants

In this section, we review the basics of Seiberg-Witten invariants introduced by Seiberg and Witten. Let us recall that the Seiberg-Witten invariant of a smooth closed oriented

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4-manifold  $X$  with  $b_2^+(X) > 1$  is an integer valued function which is defined on the set of  $spin^c$  structures over  $X$  [W]. For simplicity, we assume that  $H_1(X, \mathbb{Z})$  has no 2-torsion. Then there is a natural identification of the  $spin^c$  structures of  $X$  with the characteristic elements of  $H^2(X, \mathbb{Z})$  as follows: to each  $spin^c$  structure  $\mathfrak{s}$  over  $X$  corresponds a bundle of positive spinors  $W_{\mathfrak{s}}^+$  over  $X$ . Let  $c(\mathfrak{s}) \in H_2(X)$  denote the Poincaré dual of  $c_1(W_{\mathfrak{s}}^+)$ . Each  $c(\mathfrak{s})$  is a characteristic element of  $H_2(X, \mathbb{Z})$  (i.e. its Poincaré dual  $\hat{c}(\mathfrak{s}) = c_1(W_{\mathfrak{s}}^+)$  reduces mod 2 to  $w_2(X)$ ).

In this set up, we can view the Seiberg-Witten invariant as an integer valued function

$$SW_X : \{K \in H^2(X, \mathbb{Z}) \mid K \equiv w_2(TX) \pmod{2}\} \rightarrow \mathbb{Z}.$$

If  $SW_X(\beta) \neq 0$ , then we call  $\beta$  a *basic class* of  $X$ . It is a fundamental fact that the set of basic classes is finite. Furthermore, if  $\beta$  is a basic class, then so is  $-\beta$  with

$$SW_X(-\beta) = (-1)^{(e+\sigma)(X)/4} SW_X(\beta)$$

where  $e(X)$  is the Euler characteristic and  $\sigma(X)$  is the signature of  $X$ .

When  $b_2^+(X) > 1$ , then Seiberg-Witten invariant is a diffeomorphism invariant. It does not depend on the choice of generic metric on  $X$  or a generic perturbation of Seiberg-Witten equations.

If  $b_2^+(X) = 1$ , then the Seiberg-Witten invariant depends on the chosen metric and perturbation of Seiberg-Witten equations. Let us recall that the perturbed Seiberg-Witten moduli space  $\mathcal{M}_X(\beta, g, h)$  is defined as the solutions of the Seiberg-Witten equations

$$F_A^+ = q(\psi) + ih, \quad D_A\psi = 0$$

divided by the action of the gauge group, where  $A$  is a connection on the line bundle  $L$  with  $c_1(L) = \beta$ ,  $g$  is Riemannian metric on  $X$ ,  $\psi$  is the section of the positive spin bundle corresponding to the  $spin^c$  structure determined by  $\beta$ ,  $F_A^+$  is a self-dual part of the curvature  $F_A$ ,  $D_A$  is the twisted Dirac operator,  $q$  is a quadratic function, and  $h$  is self-dual 2-form on  $X$ . If  $b_2^+(X) \geq 1$  and  $h$  is generic metric, then Seiberg-Witten moduli space  $\mathcal{M}_X(\beta, g, h)$  is a closed manifold with dimension  $d = (\beta^2 - 2e(X) - 3\sigma(X))/4$ . The Seiberg-Witten invariant is defined as follows:

$$\begin{cases} SW_X(\beta) = \langle [\mathcal{M}_X(\beta, g, h)], \mu^{d/2} \rangle & \text{if } d \geq 0 \text{ and even} \\ SW_X(\beta) = 0 & \text{otherwise} \end{cases}$$

where  $\mu \in H^2(\mathcal{M}_X(\beta, g, h), \mathbb{Z})$  is the Euler class of the base fibration.

When  $b_2^+(X) = 1$ , the Seiberg-Witten invariant  $SW_X(\beta, g, h)$  depends on  $g$  and  $h$ . Because of this, there are two types of Seiberg-Witten invariants:  $SW_X^+$  and  $SW_X^-$ .

**Theorem 3.1.** [KM], [OS] Let  $X$  be closed, oriented, smooth 4-manifold with  $b_1(X) = 0$  and  $b_2^+(X) = 1$ . Fix a homology orientation of  $H_+^2(X, \mathbb{R})$ . For given Riemannian metric  $g$  let  $\omega_+^g$  be the unique  $g$ -harmonic self-dual 2-form that has norm 1 and is compatible with the orientation of  $H_+^2(X, \mathbb{R})$ . Then for each characteristic element  $\beta$  with  $(\beta^2 - 2e(X) - 3\sigma(X))/4 \geq 0$  the following holds: If  $(2\pi\beta + h_1) \cdot \omega_+^{g_1}$  and  $(2\pi\beta + h_2) \cdot \omega_+^{g_2}$  are not zero and have same sign, then  $SW_X(\beta, g_1, h_1) = SW_X(\beta, g_2, h_2)$ .

**Definition 3.1.** If  $(2\pi\beta + h) \cdot \omega_+^g > 0$ , then write  $SW_X^+(\beta)$  for  $SW_X(\beta, g, h)$ . If  $(2\pi\beta + h) \cdot \omega_+^g < 0$ , then write  $SW_X^-(\beta)$  for  $SW_X(\beta, g, h)$

**Theorem 3.2.** [Sz] Let  $X$  be closed, oriented, smooth 4-manifold with  $b_1(X) = 0$  and  $b_2^+(X) = 1$  and  $b_2^- \leq 9$ . Then for each characteristic element  $\beta$ , pair of Riemannian metrics  $g_1, g_2$ , and small perturbing 2-forms  $h_1, h_2$ , we have  $SW_X(\beta, g_1, h_1) = SW_X(\beta, g_2, h_2)$ .

*Proof.* . Let  $\beta$  be a characteristic element for which  $d \geq 0$ . Then  $2e(X) + 3\sigma(X) = 4 + 5b_2^+ - b_2^- \geq 0$ , which as implies  $\beta^2 \geq 0$ . It follows that  $\beta \cdot \omega_+^{g_1}$  and  $\beta \cdot \omega_+^{g_2}$  are both non-zero and have same signs. Now using the Theorem 3.1, the result follows.  $\square$

**Theorem 3.3.** [LL] (**Wall crossing formula**) Assume that  $X$  is a closed, oriented, smooth 4-manifold with  $b_1(X) = 0$  and  $b_2^+(X) = 1$ . Then for each characteristic line bundle  $L$  on  $X$  such that the formal dimension of the Seiberg-Witten moduli space is non-negative even integer  $2m$ , then  $SW_X^+(L) - SW_X^-(L) = -(-1)^m$ .

Note that when  $b_2^- \leq 9$ , it follows from the above result that there is well defined Seiberg-Witten invariant which will be denoted as  $SW_X^o(X)$ .

**Theorem 3.4.** [T] Suppose that  $X$  is closed symplectic 4-manifold with  $b_2^+(X) > 1$  ( $b_2^+(X) = 1$ ). If  $K_X$  is a canonical class of  $X$ , then  $SW_X(\pm K_X) = \pm 1$  ( $SW_X^-(K_X) = \pm 1$ ).

**Definition 3.2.** The 4-manifold  $X$  is of simple type if each basic class  $\beta$  satisfies the equation  $\beta^2 = c_1^2(X) = 3\sigma(X) + 2e(X)$ .

**Theorem 3.5.** [KM], [OS] (**Generalized adjunction formula for  $b_2^+ > 1$** ) Assume that  $\Sigma \subset X$  is an embedded, oriented, connected surface of genus  $g(\Sigma)$  with self-intersection  $|\Sigma|^2 \geq 0$  and represents nontrivial homology class. Then for every Seiberg-Witten basic class  $\beta$ ,  $2g(\Sigma) - 2 \geq |\Sigma|^2 + |\beta(|\Sigma|)|$ . If  $X$  is of simple type and  $g(\Sigma) > 0$ , then the same inequality holds for  $\Sigma$  with arbitrary self-intersection.

**Theorem 3.6.** [LL] (**Generalized adjunction formula for  $b_2^+ = 1$** ) *Let  $\Sigma \subset X$  is an embedded, oriented, connected surface of genus  $g(\Sigma)$  with self-intersection  $|\Sigma|^2 \geq 0$  and  $[\Sigma]$  represents nontrivial homology class. Then any characteristic class  $\beta$  with  $SW_{X^o}(\beta) \neq 0$  satisfies  $2g(\Sigma) - 2 \geq \Sigma^2 + |\beta(|\Sigma|)|$ .*

#### 4. Symplectic manifolds cohomology equivalent to $S^2 \times S^2$

To construct our manifolds, we will start with well known symplectic 4-manifolds described below. By applying Gompf's symplectic fiber sum operation along genus one and then genus two surfaces, we will obtain our manifolds  $X_K$ .

Let  $K$  be a genus one fibered knot (i.e. the trefoil or the figure eight knot) in  $S^3$  and  $m$  a meridional circle to  $K$ . Let  $M_K$  denote 3-manifold obtained as the result of 0-framed Dehn surgery on  $K$ . The manifold  $M_K$  has the same integral homology as  $S^2 \times S^1$ , where the class of  $m$  generates  $H_1(M_K)$ . Since the knot  $K$  has genus one and is fibered, it follows that the manifold  $M_K \times S^1$  is a torus bundle over a torus which is homology equivalent to  $T^2 \times S^2$ . Since  $K$  is a fibered knot,  $M_K \times S^1$  admits a symplectic structure. Note that  $m \times S^1 = m \times x = T_m$  is a section of this fibration. Both the torus fiber and the torus section are symplectically embedded and have a self-intersection zero. The first homology of  $M_K \times S^1$  is generated by the standard first homology generators  $m$  and  $x$  of the torus section. The generators  $\gamma_1$  and  $\gamma_2$  of the fiber  $F$ , coming from Seifert surface of knot  $K$ , are trivial in homology.

The intermediate building block in our construction will be a twisted fiber sum of two copies of the manifold  $M_K \times S^1$ , where we identify a fiber of first fibration with a section of the second one. Let  $Y_K$  denote the mentioned twisted fiber sum  $Y_K = M_K \times S^1 \#_{F=T_m} M_K \times S^1$ . It follows from Gompf's theorem [Go] that  $Y_K$  is symplectic. Notice that the manifold  $Y_K$  is obtained by knot surgery operation of Fintushel-Stern [FS1] from manifold  $M_K \times S^1$ . Thus  $Y_K = (M_K \times S^1)_K$ . In this step we could have also chosen a different genus 1 fibered knot.

Let  $T_1$  be the section of the first copy of  $M_K \times S^1$  and  $T_2$  be the fiber of the second copy. Note that  $T_1 \# T_2$  symplectically embeds into  $Y_K$ . Now suppose that  $\Sigma_2 = T_1 \# T_2$  is the genus two symplectic submanifold of self-intersection zero sitting inside of  $Y_K$ . Let  $(m, x, \gamma_1, \gamma_2)$  be the generators of  $H_1(\Sigma_2)$  under the inclusion-induced homomorphism (here we use the same letters  $\gamma_1$  and  $\gamma_2$  to denote the generators of the fiber coming from the second copy of  $M_K \times S^1$ ). We choose the involution diffeomorphism  $\phi : T_1 \# T_2 \rightarrow T_1 \# T_2$  of the  $\Sigma_2$  which induces the map on first homology according to the following rule:  $\phi_*(m') = \gamma_1$ ,  $\phi_*(\gamma_1') = m$ ,  $\phi_*(x') = \gamma_2$  and  $\phi_*(\gamma_2') = x$ . Next, we take the fiber sum of two copies of  $Y_K$  along the genus two surface  $\Sigma_2$  and denote the new symplectic manifold as  $X_K$ , i.e.  $X_K = Y_K \#_\phi Y_K$ . We will show that the new manifold  $X_K$  has trivial first homology and has the same integral cohomology as  $S^2 \times S^2$ . Consequently,  $e(X_K) = 4$ ,  $\sigma(X_K) = 0$ ,  $c_1^2(X_K) = 8$ , and  $\chi_h(X_K) = 1$ . We will compute  $H_1(X_K, \mathbb{Z})$

(also  $H_2(X_K, \mathbb{Z})$ ) by using Mayer-Vietoris sequence and then by directly computing the fundamental group of  $X_K$ .

**Lemma 4.1.**  $H_1(X_K, \mathbb{Z}) = 0$  and  $H_2(X_K, \mathbb{Z}) = \mathbb{Z} \oplus \mathbb{Z}$ .

*Proof.* We use Mayer-Vietoris sequence to compute the homology of  $X_K = Y_K \#_{\phi} Y_K$ . Let  $Y_1 = Y_2 = Y_K \setminus \nu\Sigma_2$ . By applying the reduced Mayer-Vietoris sequence to the triple  $(X_K, Y_1, Y_2)$ , we have the following long exact sequence

$$\begin{aligned} \dots &\longrightarrow H_2(S^1 \times \Sigma_2, \mathbb{Z}) \longrightarrow H_2(Y_1, \mathbb{Z}) \oplus H_2(Y_2, \mathbb{Z}) \longrightarrow H_2(X_K, \mathbb{Z}) \\ &\longrightarrow H_1(S^1 \times \Sigma_2, \mathbb{Z}) \longrightarrow H_1(Y_1, \mathbb{Z}) \oplus H_1(Y_2, \mathbb{Z}) \longrightarrow H_1(X_K, \mathbb{Z}) \longrightarrow 0 \end{aligned}$$

The simple computation by Künneth formula yields  $H_1(\Sigma_2 \times S^1, \mathbb{Z}) = \mathbb{Z} \oplus \mathbb{Z} \oplus \mathbb{Z} \oplus \mathbb{Z} = \langle \lambda \rangle \oplus \langle m \rangle \oplus \langle x \rangle \oplus \langle \gamma_1 \rangle \oplus \langle \gamma_2 \rangle$ . Also, we have  $H_1(Y_1, \mathbb{Z}) = \mathbb{Z} \oplus \mathbb{Z} = \langle m \rangle \oplus \langle x \rangle$  and  $H_1(Y_2, \mathbb{Z}) = \mathbb{Z} \oplus \mathbb{Z} = \langle m' \rangle \oplus \langle x' \rangle$ .

Let  $\phi_*$  and  $\delta$  denote the last two arrows in the long exact sequence above. Because the way the gluing map  $\phi$  is defined, the essential homology generators will map to the trivial ones. Thus, we have  $\phi_*(m) = \phi_*(x) = \phi_*(m') = \phi_*(x') = 0$ . Since  $Im(\phi_*) = Ker(\delta)$ , we conclude that  $H_1(X_K) = Ker(\delta) = Im(\phi_*) = 0$ .

Next, by using the facts that  $b_1 = b_3 = 0$ ,  $b_0 = b_4 = 1$ , and the symplectic sum formula for Euler characteristics, we compute  $b_2 = e(Y_K) + e(Y_K) + 2 = 0 + 0 + 2 = 2$ .

We conclude that  $H_2(X_K, \mathbb{Z}) = \mathbb{Z} \oplus \mathbb{Z}$ . A basis for the second homology consists of classes of  $\Sigma_2 = S$  and the new genus two surface  $T$  resulting from the second fiber sum operation (i.e. two punctured genus one surfaces glues to form a genus two surface), where  $S^2 = T^2 = 0$  and  $S \cdot T = 1$ . Thus, the manifolds obtained by the above construction have intersection form  $H$ , so they are spin 4-manifolds.  $\square$

**Lemma 4.2.**  $e(X_K) = 4$ ,  $\sigma(X_K) = 0$ ,  $c_1^2(X_K) = 8$ , and  $\chi_h(X_K) = 1$ .

*Proof.* Using the lemma 2.8, we have  $e(X_K) = 2e(Y_K) + 4$ ,  $\sigma(X_K) = 2\sigma(Y_K)$ ,  $c_1^2(X_K) = 2c_1^2(Y_K) + 8$ , and  $\chi_h(X_K) = 2\chi_h(Y_K) + 1$ . Since  $e(Y_K) = 0$ ,  $\sigma(Y_K) = 0$ ,  $c_1^2(Y_K) = 0$  and  $\chi_h(Y_K) = 0$ , the result follows.  $\square$

Since our basic building block  $M_K \times S^1$  is a minimal symplectic 4-manifold, it follows from Usher's Theorem that the symplectic manifolds  $Y_K$  and  $X_K$  are both minimal.

#### 4.1. Fundamental Group Computation for Trefoil

##### 4.1.1. Step 1: Fundamental Group of $M_K \times S^1$

In this section we will assume that  $K$  is the trefoil. The case when  $K$  is the figure eight can be treated similarly.

Let  $a$  and  $b$  denote the Wirtinger generators of the trefoil knot. Then the group of  $K$  has the following presentation

$$\pi_1(S^3 \setminus \nu K) = \langle a, b \mid aba = bab \rangle = \langle u, v \mid u^2 = v^3 \rangle$$

where  $u = bab$  and  $v = ab$ . By Lemma 2.2, the homotopy classes of the meridian and the longitude of the trefoil are given as follows:  $m = uv^{-1} = b$  and  $l = u^2(uv^{-1})^{-6} = ab^2ab^{-4}$ . Notice that  $\gamma_1 = a^{-1}b$  and  $\gamma_2 = b^{-1}aba^{-1}$  generate the image of the fundamental group of the Seifert surface of  $K$  under the inclusion-induced homomorphism. Let  $M_K$  denote the result of 0-surgery on  $K$ .

**Lemma 4.3.**

$$\begin{aligned} \pi_1(M_K \times S^1) &= \pi_1(M_K) \oplus \mathbb{Z} \\ &= \langle a, b, x \mid aba = bab, ab^2ab^{-4} = 1, [a, x] = [b, x] = 1 \rangle. \end{aligned}$$

*Proof.* Notice that the fundamental group of  $M_K$  is obtained from the knot group of the trefoil by adjoining the relation  $l = u^2(uv^{-1})^{-6} = ab^2ab^{-4} = 1$ . Thus, we have the presentation given above.  $\square$

##### 4.1.2. Step 2: Fundamental Group of $Y_K$

Next, we take the two copies of the manifold  $M_K \times S^1$ . In the first copy, take a tubular neighborhood of the torus section  $b \times x$ , remove it from  $M_K \times S^1$  and denote the resulting manifold as  $C_S$ . In the second copy, we remove a tubular neighborhood of the fiber  $F$  and denote the complement by  $C_F$ .

**Lemma 4.4.** *Let  $C_S$  be the complement of a neighborhood of a section in  $M_K \times S^1$ . Then we have*

$$\pi_1(C_S) = \langle a, b, x \mid aba = bab, [a, x] = [b, x] = 1 \rangle.$$

*Proof.* Note that  $C_S = (M_K \setminus \nu(b)) \times S^1 = (S^3 \setminus \nu K) \times S^1$ .  $\square$

**Lemma 4.5.** *Let  $C_F$  be the complement of a neighborhood of a fiber in  $M_K \times S^1$ . Then we have*

$$\begin{aligned} \pi_1(C_F) &= \langle \gamma'_1, \gamma'_2, d, y \mid [\gamma'_1, \gamma'_2] = [y, \gamma'_1] = [y, \gamma'_2] = 1, \\ &\quad d\gamma'_1 d^{-1} = \gamma'_1 \gamma'_2, d\gamma'_2 d^{-1} = (\gamma'_1)^{-1} \rangle. \end{aligned}$$

*Proof.* To compute the fundamental group of  $C_F$ , we will use the following observation:  $C_F$  is homotopy equivalent to a torus bundle over a wedge of two circles. The generators  $d$  and  $y$  do not commute in the fundamental group of  $C_F$ . Also, the monodromy along the circle  $y$  is trivial whereas the monodromy along the circle  $d$  is the same as the monodromy of  $M_K$ . Notice that  $\gamma'_1 = c^{-1}d$ ,  $\gamma'_2 = d^{-1}cdc^{-1}$  and  $cdc = dcd$ . Thus, we have

$$d\gamma'_1 d^{-1} = dc^{-1}dd^{-1} = dc^{-1} = \gamma'_1 \gamma'_2, \text{ and } d\gamma'_2 d^{-1} = dd^{-1}cdc^{-1}d^{-1} = d^{-1}c = (\gamma'_1)^{-1}. \quad \square$$

**Lemma 4.6.** *Let  $Y_K$  be the symplectic sum of two copies of  $M_K \times S^1$ , identifying a section in one copy with a fiber in the other copy. If the gluing map  $\psi$  satisfies  $\psi_*(x) = \gamma'_1$  and  $\psi_*(b) = \gamma'_2$ , then*

$$\begin{aligned} \pi_1(Y_K) &= \langle a, b, x, \gamma'_1, \gamma'_2, d, y \mid aba = bab, [x, a] = [x, b] = 1, \\ &\quad [\gamma'_1, \gamma'_2] = [y, \gamma'_1] = [y, \gamma'_2] = 1, d\gamma'_1 d^{-1} = \gamma'_1 \gamma'_2, d\gamma'_2 d^{-1} = (\gamma'_1)^{-1}, \\ &\quad x = \gamma'_1, b = \gamma'_2, ab^2ab^{-4} = [d, y] \rangle \\ &= \langle a, b, x, d, y \mid aba = bab, [x, a] = [x, b] = 1, \\ &\quad [y, x] = [y, b] = 1, dxd^{-1} = xb, dbd^{-1} = x^{-1}, ab^2ab^{-4} = [d, y] \rangle. \end{aligned}$$

*Proof.* By Van Kampen's Theorem,  $\pi_1(Y_K) = \pi_1(C_S) * \pi_1(C_F) / \pi_1(T^3)$ . One circle factor of  $T^3$  is identified with the longitude of  $K$  on one side and the meridian of the torus fiber in  $M_K \times S^1$  on the other side. This gives the last relation.  $\square$

Inside  $Y_K$ , we can find a genus 2 symplectic submanifold  $\Sigma_2$  which is the internal sum of a punctured fiber in  $C_S$  and a punctured section in  $C_F$ . The inclusion-induced homomorphism maps the standard generators of  $\pi_1(\Sigma_2)$  to  $a^{-1}b, b^{-1}aba^{-1}, d$  and  $y$ .

**Lemma 4.7.** *There are nonnegative integers  $m$  and  $n$  such that*

$$\begin{aligned} \pi_1(Y_K \setminus \nu\Sigma_2) &= \langle a, b, x, d, y; g_1, \dots, g_m \mid aba = bab, \\ &\quad [y, x] = [y, b] = 1, dxd^{-1} = xb, dbd^{-1} = x^{-1}, \\ &\quad ab^2ab^{-4} = [d, y], r_1 = \dots = r_n = 1, r_{n+1} = 1 \rangle, \end{aligned} \tag{1}$$

where the generators  $g_1, \dots, g_m$  and relators  $r_1, \dots, r_n$  all lie in the normal subgroup  $N$  generated by the element  $[x, b]$ , and the relator  $r_{n+1}$  is a word in  $x, a$  and elements of  $N$ . Moreover, if we add an extra relation  $[x, b] = 1$  to (1), then the relation  $r_{n+1} = 1$  simplifies to  $[x, a] = 1$ .

*Proof.* This follows from Van Kampen's Theorem. Note that  $[x, b]$  is a meridian of  $\Sigma_2$  in  $Y_K$ . Hence setting  $[x, b] = 1$  should turn  $\pi_1(Y_K \setminus \nu\Sigma_2)$  into  $\pi_1(Y_K)$ . Also note that  $[x, a]$  is the boundary of a punctured section in  $C_S \setminus \nu(\text{fiber})$ , and is no longer trivial in  $\pi_1(Y_K \setminus \nu\Sigma_2)$ . By setting  $[x, b] = 1$ , the relation  $r_{n+1} = 1$  is to turn into  $[x, a] = 1$ .

It remains to check that the relations in  $\pi_1(Y_K)$  other than  $[x, a] = [x, b] = 1$  remain the same in  $\pi_1(Y_K \setminus \nu\Sigma_2)$ . By choosing a suitable point  $\theta \in S^1$  away from the image of the fiber that forms part of  $\Sigma_2$ , we obtain an embedding of the knot complement

$(S^3 \setminus \nu K) \times \{\theta\} \hookrightarrow C_S \setminus \nu(\text{fiber})$ . This means that  $aba = bab$  holds in  $\pi_1(Y_K \setminus \nu\Sigma_2)$ . Since  $[\Sigma_2]^2 = 0$ , there exists a parallel copy of  $\Sigma_2$  outside  $\nu\Sigma_2$ , wherein the identity  $ab^2ab^{-4} = [d, y]$  still holds. The other remaining relations in  $\pi_1(Y_K)$  are coming from the monodromy of the torus bundle over a torus. Since these relations will now describe the monodromy of the punctured torus bundle over a punctured torus, they hold true in  $\pi_1(Y_K \setminus \nu\Sigma_2)$ .  $\square$

#### 4.1.3. Step 3: Fundamental Group of $X_K$

Now take two copies of  $Y_K$ . Suppose that the fundamental group of the second copy has  $e, f, z, s, t$  as generators, and the inclusion-induced homomorphism in the second copy maps the generators of  $\pi_1(\Sigma_2)$  to  $e^{-1}f, f^{-1}efe^{-1}, s$  and  $t$ . Let  $X_K$  denote the symplectic sum of two copies of  $Y_K$  along  $\Sigma_2$ , where the gluing map  $\psi$  maps the generators as follows:

$$\psi_*(a^{-1}b) = s, \quad \psi_*(b^{-1}aba^{-1}) = t, \quad \psi_*(d) = e^{-1}f, \quad \psi_*(y) = f^{-1}efe^{-1}.$$

**Lemma 4.8.** *There are nonnegative integers  $m$  and  $n$  such that*

$$\begin{aligned} \pi_1(X_K) = & \langle a, b, x, d, y; e, f, z, s, t; g_1, \dots, g_m; h_1, \dots, h_m \mid \\ & aba = bab, [y, x] = [y, b] = 1, \\ & dxd^{-1} = xb, dbd^{-1} = x^{-1}, ab^2ab^{-4} = [d, y], \\ & r_1 = \dots = r_n = r_{n+1} = 1, r'_1 = \dots = r'_n = r'_{n+1} = 1, \\ & efe = fef, [t, z] = [t, f] = 1, \\ & szs^{-1} = zf, sfs^{-1} = z^{-1}, ef^2ef^{-4} = [s, t], \\ & d = e^{-1}f, y = f^{-1}efe^{-1}, a^{-1}b = s, b^{-1}aba^{-1} = t, [x, b] = [z, f] \rangle, \end{aligned}$$

where  $g_i, h_i$  ( $i = 1, \dots, m$ ) and  $r_j, r'_j$  ( $j = 1, \dots, n$ ) all lie in the normal subgroup  $N$  generated by  $[x, b] = [z, f]$ . Moreover,  $r_{n+1}$  is a word in  $x, a$  and elements of  $N$ , and  $r'_{n+1}$  is a word in  $z, e$  and elements of  $N$ .

*Proof.* This is just a straightforward application of Van Kampen's Theorem and Lemma 4.7.  $\square$

**Remark 1.** Notice that the abelianization of  $\pi_1(X_K)$  is trivial. Also, different symplectic cohomology  $S^2 \times S^2$ 's can be obtained if we use other genus 1 fibered knot, the figure eight knot, or a combination of both (the trefoil or the figure eight knot) in our construction. Using computational software [GAP], we show that these manifolds have different fundamental groups. In addition, considering an infinite family of non-fibered genus one twist knots, we also obtain an infinite family of cohomology  $S^2 \times S^2$ . This family of cohomology  $S^2 \times S^2$ 's will not be symplectic anymore and can be distinguished by their Seiberg-Witten invariants. Also, using [GAP], it is not hard to verify that their fundamental groups are different as well.

**Question 1.** Are there two distinct genus 1 knots  $K$  and  $K'$  such that  $\pi_1(X_K)$  is isomorphic to  $\pi_1(X_{K'})$ ?

#### 4.2. Seiberg-Witten invariants for manifold $X_K$

Let  $C$  be a basic class of the manifold  $X_K$ . We can write  $C$  as a linear combination of  $S$  and  $T$ , i.e.  $C = aS + bT$ .  $X_K$  is a symplectic manifold and has simple type. So for any basic class  $C$ ,  $C^2 = 3\sigma(X_K) + 2e(X_K) = 8$ . It follows that  $2ab = 8$ . Next we apply the adjunction inequality to  $S$  and  $T$  to get  $2g(S) - 2 \geq [S]^2 + |C(S)|$  and  $2g(T) - 2 \geq [T]^2 + |C(T)|$ . These gives us two more restriction on  $a$  and  $b$ :  $2 \geq |b|$  and  $2 \geq |a|$ . Thus, it follows that  $C = \pm(2S + 2T)$ , which is  $\pm$  the canonical class  $K_{X_K} = 2S + 2T$  of  $X_K$ . Notice that, since  $b_2^-(X_K) \leq 9$  and  $(-K_{X_K}) \cdot \omega < 0$ , we have a well defined  $SW_{X_K}^0$ . Now it follows from the theorems of Section 3 that  $SW_{X_K}^0(-K_{X_K}) = SW_{X_K}^-(K_{X_K}) = \pm 1$ .

### 5. Symplectic manifolds cohomology equivalent to $\#_{(2g-1)}(S^2 \times S^2)$

In this section, we will modify the construction above to get an infinite family of symplectic 4-manifolds cohomology equivalent to  $\#_{(2g-1)}(S^2 \times S^2)$  for any  $g \geq 2$ .

Let  $K'$  denote a genus  $g$  fibered knot in  $S^3$  and  $m$  a meridional circle to  $K'$ . We first perform 0-framed surgery on  $K'$  and denote the resulting 3-manifold by  $Z_{K'}$ . The 4-manifold  $Z_{K'} \times S^1$  is a  $\Sigma_g$  bundle over the torus and has the same integral homology as  $T^2 \times S^2$ . Since  $K'$  is a fibered knot,  $Z_{K'} \times S^1$  is a symplectic manifold. Again, there is a torus section  $m \times S^1 = T_m$  of this fibration. The first homology of  $Z_{K'} \times S^1$  is generated by the standard first homology generators of this torus section. The standard homology generators of the fiber  $F$ , which we denote as  $\alpha_2, \beta_2, \dots, \alpha_{g+1}$ , and  $\beta_{g+1}$  of the given bundle is trivial in the homology. The section  $T_m$  has zero self-intersection and the its neighborhood in  $Z_{K'} \times S^1$  has a canonical identification with  $T_m \times D^2$ .

We form a twisted fiber sum of the manifold  $M_K \times S^1$  with  $Z_{K'} \times S^1$  where we identify the fiber of the first fibration to the section of other. Let  $W_{KK'}$  denote the new manifold  $W_{KK'} = M_K \times S^1 \#_{F=T_m} Z_{K'} \times S^1$ . Let us remark that the manifold  $W_{KK'}$  is obtained from  $M_K \times S^1$  by knot surgery of Fintushel-Stern [FS1] along knot  $K'$ . Again, it follows from Gompf's theorem [Go] that  $W_{KK'}$  is symplectic.

Let  $T_1$  be the section of the  $M_K \times S^1$  which we discussed earlier and  $\Sigma_g$  be the fiber of the  $Z_{K'} \times S^1$ . Then  $T_1 \# \Sigma_g$  embeds in  $W_{KK'}$  and has self-intersection zero. Now suppose that  $W_{KK'}$  is the symplectic 4-manifold given, and  $\Sigma_{g+1} = T_1 \# \Sigma_g$  is the genus  $g+1$  symplectic submanifold of self-intersection 0 sitting inside of  $W_{KK'}$ . Let  $\alpha_1, \beta_1, \alpha_2, \beta_2, \dots, \alpha_{g+1}$ , and  $\beta_{g+1}$  be the generators of the first homology of the surface  $T_1 \# \Sigma_g = \Sigma_{g+1}$ . Let  $\psi : T^2 \# \Sigma_g \rightarrow \Sigma_g \# T^2$  be any diffeomorphism of the genus  $g+1$  surface that changes the generators of the first homology according to the following rule:  $\psi_*(\alpha_1) = \alpha'_{g+1}$ ,  $\psi_*(\beta_1) = \beta'_{g+1}$ ,  $\psi_*(\alpha_{g+1}) = \alpha'_1$ , and  $\psi_*(\beta_{g+1}) = \beta'_1$ . Take the fiber sum along this genus  $g+1$  surface  $\Sigma_{g+1}$  and denote the resulting symplectic manifold as  $V_{KK'}$ . The new

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manifold  $V_{KK'} = W_{KK'} \#_{\psi} W_{KK'}$  has trivial first homology and has the same homology of  $\#_{(2g-1)} S^2 \times S^2$ .

**Lemma 5.1.**  $H_1(V_{KK'}, \mathbb{Z}) = 0$  and  $H_2(V_{KK'}, \mathbb{Z}) = \oplus_{2(2g-1)} \mathbb{Z}$ .

*Proof.* The proof is similar to genus one case and can be obtained by applying Mayer-Vietoris sequence.  $\square$

Note that  $H_2(V_{KK'}, \mathbb{Z})$  has rank  $4g - 2$ . A basis for the second homology consist of the  $[S]$  and  $[\Sigma]$ , where  $S$  is the genus  $g+1$  surface  $\Sigma_{g+1}$  and  $\Sigma$  is the genus two surface  $\Sigma_2$  resulting from the last fiber sum operation,  $(2g-2)$  Lagrangian tori  $R_i$  and  $(2g-2)$  associated dual Lagrangian tori  $V_i$ . In the manifold  $V_{KK'}$  these classes contribute  $2g - 2$  new hyperbolic pairs. Thus, the manifolds obtained by the above construction have intersection form  $\oplus_{2g-1} H$ . Notice that  $b_2^+(V_{KK'}) = b_2^-(V_{KK'}) = 2g - 1$

**Lemma 5.2.**  $e(V_{KK'}) = 4g$ ,  $\sigma(V_{KK'}) = 0$ ,  $c_1^2(V_{KK'}) = 8g$ , and  $\chi_h(V_{KK'}) = g$ .

*Proof.* Using the lemma 2.8, we have  $e(V_{KK'}) = 2e(W_{KK'}) + 4g$ ,  $\sigma(V_{KK'}) = 2\sigma(W_{KK'})$ ,  $c_1^2(V_{KK'}) = 2c_1^2(W_{KK'}) + 8g$  and  $\chi_h(V_{KK'}) = 2\chi_h(W_{KK'}) + g$ . Since  $e(W_{KK'}) = 0$  and  $\sigma(W_{KK'}) = 0$ , our result follows.  $\square$

**Remark 2.** Using Lemma 2.2 and applying steps of Section 4.1, one can compute  $\pi_1(V_{KK'})$  when  $K$  is the trefoil and  $K'$  is the  $(p, q)$  torus knot.

**Question 2.** Are there two distinct genus  $g \geq 2$  knots  $K'$  and  $K''$  such that  $\pi_1(V_{KK'})$  is isomorphic to  $\pi_1(V_{KK''})$ ?

### 5.1. Seiberg-Witten invariants for manifold $V_{KK'}$

Let  $C$  be a basic class of the manifold  $V_{KK'}$ . Let us write  $C$  as a linear combination of  $S$ ,  $\Sigma$ , tori  $R_i$ ,  $i = 1, \dots, 2g - 2$  and the dual tori  $V_i$ ,  $i = 1, \dots, 2g - 2$ ,  $C = aS + b\Sigma + \sum_{i=1}^{2g-2} u_i R_i + v_i V_i$ . By adjunction inequality, the intersection number of any basic class with tori  $R_i$  is zero, i.e.  $C \cdot R_i = 0$ ,  $i = 1, \dots, 2g - 2$ . It follows that  $Q^T v = 0$  where  $Q$  is the intersection matrix and  $v = (v_1, \dots, v_{2g-2})$ . Using the fact that  $Q$  is invertible, we obtain  $v_1 = \dots = v_{2g-2} = 0$ . Next, by applying the adjunction inequality again, we have  $V_i \cdot C = 0$  for  $i = 1, \dots, 2g - 2$ . This gives rise to the system  $Qu = 0$ , which implies  $u_1 = \dots = u_{2g-2} = 0$ . This shows that any basic class has form  $C = aS + b\Sigma$ . Since  $V_{KK'}$  is a symplectic manifold and has simple type. So for any basic class  $C$ ,  $C^2 = 3\sigma(V_{KK'}) + 2e(V_{KK'}) = 8g$ . It follows that  $2ab = 8g$ . Next we apply the adjunction inequality to  $S$  and  $\Sigma$  to get  $2g(S) - 2 \geq [S]^2 + |C(S)|$  and  $2g(\Sigma) - 2 \geq [\Sigma]^2 + |C(\Sigma)|$ . These gives two more restrictions on  $a$  and  $b$ :  $2g \geq |b|$  and  $2 \geq |a|$ . It follows that  $C = \pm(2S + 2g\Sigma)$ , which are  $\pm$  the canonical class of the given manifold. By applying the Theorem 3.5, we conclude that the value of Seiberg-Witten invariants of these classes is  $\pm 1$ .

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